Portfolio Application & Agreement

PLATFORM+ WRAP

How to Use This Form

This Form should be used to establish a PlatformplusWRAP Self Managed Superannuation Fund (SMSF) Portfolio.

All fields are mandatory unless otherwise indicated by a ^.

If establishing more than one (1) Portfolio, please complete and submit separate Forms.

Section 1: Organisation Details

Section 1.1 Organisation Details

Organisation Name

Australian Business Number (ABN)

Date of Establishment

Country of Registration

Section 1.2 Regulatory Details

Primary country of Tax Residency

Tax Identifier *(Tax File Number in Australia)*

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Not specified ☐ Organisation not required to lodge a tax return

☐ Consumer Finance Company / Financial Investment Body.

If you have an additional tax residency to declare, please provide a copy of Section 1.2 when returning this Form.

Section 2: Client Details

Important Information: Please ensure all relevant client details are assigned for AML purposes. This must include any beneficiaries on the portfolio and settlors where their material asset contribution to the trust at the time the trust was greater than or equal to $10,000.00 AUD.

This Portfolio must have either:
- a) At Least Two Individual Trustees – go to Section 2.1; or
- b) One Corporate Trustee with One Sole Director or Two Directors – go to Section 2.2.

Section 2.1 Two or More Individual Trustees

Client Profile 1

Section 2.1.1 Personal Details

Title

Surname

Given Names

Gender ☐ Male ☐ Female ☐ X ☐ Prefer not to say

Date Of Birth

Town of Birth^

Country Of Birth

Marital Status^ ☐ Unknown ☐ Separated ☐ Married/Civil Partnership

Divorced ☐ Widowed ☐ Single ☐

Client Type^ ☐ Non-contactable ☐ Staff

Role Type ☐ Beneficiary ☐ Power of Attorney ☐ Trustee – skip to next Section

Account Access ☐ Read-Write ☐ Read-Only ☐ No Access

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.1.2 Contact Details

Primary Residential Address

Suburb | State | Post Code

Email Address ☐ Work ☐ Personal

Mobile (Australia +61 only)

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.1.3 Regulatory Details

Primary country of Tax Residency | Primary Country of Citizenship

Tax Identifier
(Tax File Number in Australia)

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

- ☐ Child under 16
- ☐ Pensioner (Category 1)
- ☐ Territory Resident
- ☐ Pensioner (Category 2)
- ☐ Non-Resident
- ☐ Other, please specify

Are You a Sophisticated Investor? ☐ Yes ☐ No

If No skip to Section 1.4

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 2

Section 2.1.4 Personal Details

Title | Surname

Given Names

Gender	☐	Male	☐	Female	☐	X	☐	Prefer not to say
Date Of Birth			Town of Birth^		Country Of Birth			
Marital Status^	☐	Unknown	☐	Separated	☐	Married/Civil Partnership		
	☐	Divorced	☐	Widowed	☐	Single	☐	
Client Type^	☐	Non-contactable	☐	Staff				
Role Type	☐	Beneficiary	☐	Power of Attorney	☐	Trustee – skip to next Section		
Account Access	☐	Read-Write	☐	Read-Only	☐	No Access		

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.1.5 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address		☐ Work	☐ Personal		
Mobile (Australia +61 only)					

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.1.6 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

- ☐ Child under 16
- ☐ Pensioner (Category 1)
- ☐ Territory Resident
- ☐ Pensioner (Category 2)
- ☐ Non-Resident
- ☐ Other, please specify

Are You a Sophisticated Investor?
If No skip to Section 1.7 ☐ Yes ☐ No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 3

Section 2.1.7 Personal Details

Title		Surname					
Given Names							
Gender	☐	Male	☐	Female	☐	X	☐ Prefer not to say
Date Of Birth		Town of Birth^		Country Of Birth			
Marital Status^	☐	Unknown	☐	Separated	☐	Married/Civil Partnership	
	☐	Divorced	☐	Widowed	☐	Single	☐
Client Type^	☐	Non-contactable	☐	Staff			
Role Type	☐	Beneficiary	☐	Power of Attorney	☐	Trustee – skip to next Section	
Account Access	☐	Read-Write	☐	Read-Only	☐	No Access	

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.1.8 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address		☐ Work	☐ Personal		
Mobile (Australia +61 only)					
Contact Preferences	☐ Email	☐ Phone			
	☐ Allow Platformplus to Send Me News and Promotions				

Section 2.1.9 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

- ☐ Child under 16
- ☐ Pensioner (Category 1)
- ☐ Territory Resident
- ☐ Pensioner (Category 2)
- ☐ Non-Resident
- ☐ Other, please specify

Are You a Sophisticated Investor? ☐ Yes ☐ No

If No skip to Section 1.10

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 4

Section 2.1.10 Personal Details

Title | Surname

Given Names

Gender ☐ Male ☐ Female ☐ X ☐ Prefer not to say

Date Of Birth | Town of Birth^ | Country Of Birth

Marital Status^ ☐ Unknown ☐ Separated ☐ Married/Civil Partnership

☐ Divorced ☐ Widowed ☐ Single ☐

Client Type^ ☐ Non-contactable ☐ Staff

Role Type ☐ Beneficiary ☐ Power of Attorney ☐ Trustee – skip to next Section

Account Access ☐ Read-Write ☐ Read-Only ☐ No Access

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.1.11 Contact Details

Primary Residential Address

Suburb | State | Post Code

Email Address ☐ Work ☐ Personal

Mobile (Australia +61 only)

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.1.12 Regulatory Details

Primary country of Tax Residency | Primary Country of Citizenship

Tax Identifier
(Tax File Number in Australia)

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16 ☐ Pensioner (Category 1)

- [ ] Territory Resident
- [ ] Pensioner (Category 2)
- [ ] Non-Resident
- [ ] Other, please specify

Are You a Sophisticated Investor?
If No skip to Section 2 ☐ Yes ☐ No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

If there are more than two (2) Trustees, please include a copy of Section 2.1 when returning this Form.

Section 2.2 One Corporate Trustee with One Sole Director or Two Directors

Section 2.2..1 Corporate Trustee Details

Organisation Name			
Australian Business Number (ABN)^^		Australian Company Number (ACN)^^	
Date of Establishment		Country of Registration	
Company Is Registered with ASIC?	☐ Yes – skip to next question.	☐ No	
Foreign Registered Body		Foreign Registered Body Number	

Industry Classification

- [ ] Accounting/Finance/Broking
- [ ] Consulting
- [ ] Government/Military
- [ ] Engineering
- [ ] Professional Services
- [ ] Research & Development
- [ ] Retail & Food and Beverages
- [ ] Sales/Marketing/Advertising
- [ ] Moneylenders/Pawn Services
- [ ] Charities
- [ ] Corporate Trustee
- [ ] Other, please specify:

^^Either ABN or ACN is required.

Section 2.2..2 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address		☐ Work		☐ Personal	
Mobile (Australia +61)					
Contact Preferences	☐ Email	☐ Phone			

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Section 2.2..3 Regulatory Details

Primary country of Tax Residency		Tax Identifier (Tax File Number in Australia)	

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16 ☐ Organisation not required to lodge a tax return

☐ Consumer Finance Company / Financial Investment Body.

Common Reporting Standard (CRS) Tax Classification

☐ Financial Institution (other than an investment entity in a non-participating jurisdiction)
Based on the CRS Tax Classification selected, the organisation is considered a CRS Exempted Entity.

☐ Investment entity (in a non-participating jurisdiction)
Based on the CRS Tax Classification selected, the organisation is not considered a CRS Exempted Entity and CRS due diligence and reporting will be determined from information collected for any controlling persons.

☐ Australian registered charity
Based on the CRS Tax Classification selected, the organisation is considered a CRS Exempted Entity

☐ Active NFE
Based on the CRS Tax Classification selected, the organisation is not considered a CRS Exempted Entity and CRS due diligence and reporting will be determined from information collected for any controlling persons.

☐ Other (passive NFE)
Based on the CRS Tax Classification selected, the organisation is not considered a CRS Exempted Entity and CRS due diligence and reporting will be determined from information collected for any controlling persons.

If you have an additional tax residency to declare, please provide a copy of Section 2.2.3 when returning this Form.

Client Profile 1

Section 2.2..4 Personal Details

Title		Surname			
Given Names					
Date Of Birth		Town of Birth^		Country Of Birth	

Gender: ☐ Male ☐ Female ☐ X ☐ Prefer not to say

Marital Status^: ☐ Unknown ☐ Separated ☐ Married/Civil Partnership ☐ Divorced ☐ Widowed ☐ Single ☐ Partner

Role Type: ☐ Beneficiary ☐ Power of Attorney ☐ Trustee – skip to next Section

Client Type^: ☐ VIP ☐ Non-contactable ☐ Staff

Account Access: ☐ Read-Write ☐ Read-Only ☐ No Access

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.2..5 Contact Details

Primary Residential Address	

Suburb | State | Post Code

Email Address ☐ Work ☐ Personal

Mobile (Australia +61 only)

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.2.6 Regulatory Details

Primary country of Tax Residency | Primary Country of Citizenship

Tax Identifier
(Tax File Number in Australia)

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16 ☐ Pensioner (Category 1)

☐ Territory Resident ☐ Pensioner (Category 2)

☐ Non-Resident

☐ Other, please specify

Are You a Sophisticated Investor?
If No skip to Section 2.7 ☐ Yes ☐ No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 2

Section 2.2..7 Personal Details

Title | Surname

Given Names

Gender ☐ Male ☐ Female ☐ X ☐ Prefer not to say

Date Of Birth | Town of Birth^ | Country Of Birth

Marital Status^ ☐ Unknown ☐ Separated ☐ Married/Civil Partnership

☐ Divorced ☐ Widowed ☐ Single ☐ Partner

Role Type ☐ Beneficiary ☐ Power of Attorney ☐ Trustee – skip to next Section

Client Type^ ☐ VIP ☐ Non-contactable ☐ Staff

Account Access ☐ Read-Write ☐ Read-Only ☐ No Access

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.2..8 Contact Details

Primary Residential Address

Suburb | State | Post Code

Email Address ☐ Work ☐ Personal

Mobile (Australia +61 only)

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.2.9 Regulatory Details

Primary country of Tax Residency | Primary Country of Citizenship

Tax Identifier
(Tax File Number in Australia)

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16
☐ Pensioner (Category 1)
☐ Territory Resident
☐ Pensioner (Category 2)
☐ Non-Resident
☐ Other, please specify

Are You a Sophisticated Investor?
If No skip to Section 2.10 ☐ Yes ☐ No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 3

Section 2.2..10 Personal Details

Title | Surname

Given Names

Gender ☐ Male ☐ Female ☐ X ☐ Prefer not to say

Date Of Birth | Town of Birth^ | Country Of Birth

Marital Status^ ☐ Unknown ☐ Separated ☐ Married/Civil Partnership

	☐ Divorced	☐ Widowed	☐ Single	☐ Partner
Role Type	☐ Beneficiary	☐ Power of Attorney	☐ Trustee – skip to next Section	
Client Type^	☐ VIP	☐ Non-contactable	☐ Staff	
Account Access	☐ Read-Write	☐ Read-Only	☐ No Access	

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.2..11 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address		☐ Work	☐ Personal		
Mobile (Australia +61 only)					

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.2.12 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16 ☐ Pensioner (Category 1)

☐ Territory Resident ☐ Pensioner (Category 2)

☐ Non-Resident

☐ Other, please specify

Are You a Sophisticated Investor? ☐ Yes ☐ No

If No skip to Section 2.13

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Client Profile 4

Section 2.2..13 Personal Details

Title		Surname	
Given Names			

Gender	☐	Male	☐	Female	☐	X	☐	Prefer not to say
Date Of Birth			Town of Birth^		Country Of Birth			
Marital Status^	☐	Unknown	☐	Separated	☐	Married/Civil Partnership		
	☐	Divorced	☐	Widowed	☐	Single	☐	Partner
Role Type	☐	Beneficiary	☐	Power of Attorney	☐	Trustee – skip to next Section		
Client Type^	☐	VIP	☐	Non-contactable	☐	Staff		
Account Access	☐	Read-Write	☐	Read-Only	☐	No Access		

Read-write means the customer can transact on any Non-advised account opened, as well as edit some portfolio properties such as bank account details.

Signatory? ☐ Yes ☐ No

Section 2.2..14 Contact Details

Primary Residential Address						
Suburb		State		Post Code		
Email Address		☐ Work	☐ Personal			
Mobile (Australia +61 only)						

Contact Preferences ☐ Email ☐ Phone

☐ Allow Platformplus to Send Me News and Promotions

Section 2.2.15 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Are you Exempt from Paying GST? ☐ Yes ☐ No

Exempt or unable to provide Tax Identifier? ☐ Yes, please select the reason: ☐ No

☐ Child under 16
☐ Pensioner (Category 1)
☐ Territory Resident
☐ Pensioner (Category 2)
☐ Non-Resident
☐ Other, please specify

Are You a Sophisticated Investor?
If No skip to Section 2 ☐ Yes ☐ No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Section 3: Portfolio Details

Section 3.1 Portfolio Details

Name of Portfolio

Number of Signatories Needed ☐ No Authorisation ☐ Severally ☐ Jointly

SMSF Provider ☐ Class

Fund Code

Business Code

Class Token

Would you like to enable an account-level feed to Class Super? ☐ Yes ☐ No

☐ None – skip to next question.

Menu Selection ☐ Core ☐ Select

Will the investments within this portfolio form all or part of a diversified portfolio of investments? ☐ Yes ☐ No

A diversified portfolio of investments spreads investments across multiple asset classes and investments so that exposure to any one asset class or investment is limited. Diversification can consider investments on and off platform and may include investments in 5 or more different securities, investments in diversified funds and/or investments in Managed Discretionary Accounts.

Household Details ☐ New Name

☐ Existing Name Number

Tax Parcel Method ☐ Minimise Gains ☐ FIFO ☐ Maximise Gains

Section 3.2 Add Bank Accounts

Bank Account 1

Account Name

Bank/Sort/Branch Code (BSB) Account Number

Use Account for Withdrawals? ☐ Yes ☐ No

Use Account for Direct Debits? ☐ Yes ☐ No

Bank Account 2

Account Name

Bank/Sort/Branch Code (BSB) Account Number

Use Account for Withdrawals? ☐ Yes ☐ No

Use Account for Direct Debits? ☐ Yes ☐ No

To add more than two (2) bank accounts, please provide a copy of Section 3 when returning this Form.

Section 4: Investments

Section 4.1 Account Details

Name of Account

Choose a Minimum Cash Preference

Platformplus will reserve the lower of the % or the $ value entered below.

Cash Percentage Minimum %
Cash percentage minimum must be a valid number between 1.00 and 100.00

Cash Amount Minimum $
Cash amount minimum must be a valid number no less than $2000.00

Dividends & Distributions

A dividend is income from a security, and a distribution is income from a managed fund. Some issuers offer distribution or dividend reinvestment plans (DRPs) which allows investors to reinvest cash dividends or distributions to buy additional shares or units.

Where a DRP is offered for securities or managed funds, would you like to opt in? ☐ Yes ☐ No

Where a DRP is not offered? ☐ Retain ☐ Reinvest as Cash
This option applies to distributions from managed funds only.

Section 4.2 Link a Model Portfolio

Would you like to link this account to a model portfolio? ☐ Yes ☐ No

If NO, skip to Section 4.3

Model Portfolio Name

Automatic Rebalance? ☐ Yes ☐ No

If NO, skip to Section 4.3

To enable the ability to setup the automatic rebalancing of your Model Portfolio at specific frequencies. If not selected, you can manually rebalance your Model Portfolio on demand via the Manual rebalance feature.

Frequency (Daily, Weekly, Fortnightly, Monthly, Quarterly, Half-yearly, Annually)
The period of time between automatic rebalances. The day the automatic rebalance would be executed is derived from the commencement date selected. Example: If you select a Quarterly frequency and your commencement date is the 5th of July, Quarterly rebalances would occur on the 5th day of October, January, April, and July.

Commencement Date
The date you wish to execute your first automatic rebalance. This date will also be used to derive the next occurrence of the Portfolio rebalance based on the frequency selected.

Minimum Order Value $
The minimum order amount used when rebalancing your Portfolio based on the allocations defined. If the rebalance engine determines that an order required to align your assets to the target allocations falls below the minimum order amount, that order will be filtered out.

Rebalance Type ☐ At Target ☐ Align to Target
If 'At Target' is selected, then orders will be created to align to the target allocations defined for the Model. If 'Align to Target' is selected, then any excess cash will be used to buy into assets based on the target allocations defined for the Model.

Section 4.3 Invest Excess Cash

Use excess cash to automatically purchase investments? ☐ Yes ☐ No

PLATFORM+WRAP

If NO, skip to Section 4.4

Minimum buy amount
Excess cash will not be invested if the allocated trades do not meet the specified minimum amount for each investment to be purchased.

$

Select Investments
Any amount not allocated to investments will be held as cash. Minimum cash reserve requirements must be met before orders are generated for the selected allocations.

Investment Name	Investment Code	Allocation (%)
		%
		%
		%
		%
	CASH	%
	Total	100%

If you have more than one (1) Account, please provide a copy of Section 4 when returning this Form.

Section 4.4 Direct Debit Options

Add One Off Deposit? ☐ Yes ☐ No

Payment Amount | Bank Account (Section 3.2) ☐ Bank Account 1 ☐ Bank Account 2

Add Regular Deposit? ☐ Yes ☐ No

Payment Amount | Bank Account (Section 3.2) ☐ Bank Account 1 ☐ Bank Account 2

Start Date
Must be a future date

Final Date^

Frequency
Fortnightly, Monthly, Quarterly or Yearly

Section 4.5 Adviser Fees

Are there any adviser fees applicable to this account? ☐ Yes ☐ No

If NO, skip to Section 4.6

Fee Arrangement Type
You can only select either Ongoing or Fixed-Term.

☐ Ongoing Anniversary Day *(DD/MM)*

Anniversary Day is defined in the Corporations Act 2001. It is the anniversary of the day on which the ongoing fee arrangement was entered into: see section 962G(3).

Is this account funded with borrowed funds? ☐ Yes ☐ No
When borrowed funds are used to fund an account, only $ adviser fees can be charged.

Adviser Fee Type
You can only choose one (1) fee type.

☐ % ☐ Flat $

Flat dollar ($) Annual Amount
inc GST

$

Charge Frequency
Monthly, Quarterly, Half-yearly or Annually

		Tier		Percentage *inc GST*
Percentage Based (%)	$	To	$	%
	$	To	$	%
	$	To	$	%
	$	To	$	%

Estimated Account Balance $

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the next Anniversary Day.

Charge Frequency *Monthly, Quarterly, Half-yearly or Annually*

☐ Fixed Term

Start Day *(DD/MM)*
Cannot be more than 120 days in the future.

End Day *(DD/MM)*

Is this account funded with borrowed funds?
When borrowed funds are used to fund an account, only $ adviser fees can be charged.

☐ Yes ☐ No

Adviser Fee Type
You can only choose one (1) fee type.

☐ % ☐ Flat $

Flat dollar ($) Amount Per Frequency
inc GST

$

Charge Frequency
Monthly, Quarterly, Half-yearly or Annually

		Tier		Percentage p.a. *inc GST*
Percentage Based (%)	$	To		%
	$	To		%
	$	To		%
	$	To		%

Estimated Account Balance $

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the End Day.

Charge Frequency *Monthly, Quarterly, Half-yearly or Annually*

Section 4.6 One-Off Adviser Fee

Would you like to charge a one-off fee as part of account onboarding?	☐	Yes	☐	No

If NO, skip to Section 5.

Fee Amount (including GST)		Statement of Advice/Record of Advice Date	

Section 5: Transfers^

Please review and sign the completed Transfer Form and attach a copy when returning this Form.

Section 6: Documentation

Please ensure that a copy of the Trust Deed is provided when returning this Form.

Section 7: Adviser Declaration

By signing this Form, I declare that:

- the applicant(s) has been provided with all relevant offer documents for the platform, which includes information regarding investment strategy, fees and risks.
- the information entered is true and correct.
- I have provided my client(s) with a copy of the Terms and Conditions for the platform.
- I have completed an identity verification procedure in accordance with the AML/CTF Act and Rules, in the capacity of an AFSL holder or their authorised representative and the tax information provided is reasonable considering the documentation provided.
- the AML/CTF verification documentation is retained and available upon future request if required by the Trustee/Responsible Entity.
- the client has completed CRS/FATCA self certification documentation which I have reviewed for reasonableness and is retained and available upon future request if required by the Operator/Trustee/Responsible Entity
- where advice fees have been entered:
    - the fees to be paid by the client meet the sole purpose test (superannuation accounts only).
    - the Trustee/Operator has discretion to reject the payment of advice fees.
    - the fees are reasonable for the proposed services.
    - any estimates provided are reasonable estimates.
    - the Anniversary Date, if listed, is accurate.

I acknowledge that where advice fees have been entered:

- the fees will not be calculated nor deducted prior to the date of consent.
- the fees reflect a fee arrangement that has been agreed with the applicant(s). To the extent of any inconsistency, the details provided in this application will prevail.
- the fixed fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations.

Full Name (print)	
Signature	
Date	

Section 8: Client Declaration

Terms of Service

Before you can access your new profile or account(s), you must access, read and ensure you understand the documents listed below. They contain important information about your profile or account(s), the products and services you may access and how your personal information will be handled. You should also save a copy for future reference.

Documents you need to access, read and understand

- PlatformplusWRAP Product Disclosure Statement (PDS)
- Platformplus Financial Services Guide (FSG)
- PlatformplusWRAP Privacy Policy
- Direct Debit Service Agreement (if applicable)

By signing this Form, you declare that:

- You are the Account Holder of a PlatformplusWRAP Account (Account), or are authorised to make the following declarations on behalf of the Account Holder;
- You have accessed, read, understand and agree to the Important Documents listed above;
- The information listed is true and correct;
- Receiving electronic communications, including via your PlatformplusWRAP profile, Account or by emails;
- You can opt out of receiving marketing materials in relation to your Account by updating your communication preference online via your PlatformplusWRAP profile at any time; and
- By opening an Account through PlatformplusWRAP:
    - You are entering into an agreement with PlatformplusWRAP, which is based upon the terms and conditions outlined in the above Important Documents; and
    - You acknowledge and understand that:
        - Investing through PlatformplusWRAP is not the same as holding investments directly (as described in the PlatformplusWRAP PDS); and
        - You do not have access to some of the rights and entitlements that would otherwise be available to you as a retail investor) who directly invests in a financial product, managed account, or other asset or investment available for acquisition through PlatformplusWRAP; and
        - You do not have the same rights as direct retail investors and you may not have access to cooling-off rights, withdrawal rights and voting rights in respect of an investment held through the PlatformplusWRAP.

Direct Debit Authorisation (if applicable)

If you have included a Direct Debit Option above (Section 4.4) you do:

- Request and authorise to debit the account named above to pay FNZ Custodians (Australia) Pty Limited as Custodian for Equity Trustees Ltd as Responsible Entity for PlatformplusWRAP (Platformplus).
- Request and authorise PlatformplusWRAP (Platformplus), ABN 46 103 551533 & FNZ Custodians (Australia) Pty Limited to arrange, through its own financial institution, a debit to your nominated account any amount Platformplus has deemed payable by you.
- This debit or charge will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below and will be subject to the terms and conditions of the Direct Debit Request Service Agreement.
- Understand and agree to the Direct Debit Service Agreement.

Adviser Remuneration Details (if applicable)

If you have included an Adviser Fee (Section 4.5) or One-Off Fee (Section 4.6), you do:

- understand the information provided above;
- understand that where my Financial Adviser is part of an adviser dealer group, payment will be made to that dealer group and not the individual adviser;
- request and authorise the advice fee(s) to be deducted from my Account(s) and to paid to my Financial Adviser;
- acknowledge that the Responsible Entity/Trustee is not responsible for the financial advice services provided by my Financial Adviser;
- release the Responsible Entity/Trustee from any claim relating to the financial advice services provided by my Financial Adviser, other than a claim for the Responsible Entity/Trustee to pay the agreed advice fee(s) and to deduct it from my Account(s);
- acknowledge the Responsible Entity/Trustee has discretion to reject or cease deducting advice fees;
- confirm that the advice fee(s) reflect what has been agreed with my Financial Adviser;
- acknowledge that I understand how the advice fee(s) will be applied to my Account; and
- for adviser service fees only, I understand that fixed advice fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations.

Signatory 1

Full Name (print)

Signature

Date

Signatory 2

Full Name (print)

Signature

Date

How to Return this Form

Upload to

Online Application > Documentation

^ Indicates that the answer to this question is optional.