

How to Use This Form

This Form should be used to establish a Platformplus Super Wrap Pension Portfolio.

All fields are mandatory unless otherwise indicated by a ^.

If establishing more than one (1) Portfolio, please complete and submit separate Forms.

Section 1: Portfolio Holder Details

Section 1.1 Personal Details

Title		Surname			
Given Names					
Gender	Male	Female	X	Prefer not to say	
Date Of Birth		Town of Birth^		Country Of Birth	
Marital Status^	Unknown	Separated	Married/Civil Partnership		
	Divorced	Widowed	Single	Partner	
Client Type^	Non-contactable	Staff			

Section 1.2 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address				Work	Personal
Mobile (Australia +61 only)					
Contact Preferences	Email	Phone			

Section 1.3 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Why do I need to provide my TFN? It is not compulsory to provide your Australian TFN. However, individuals who do not provide a TFN (or an ABN for non-individual investors i.e. entities), or alternatively provide exemption details, may have tax deducted from any income at the highest marginal tax rate plus the Medicare Levy or any other applicable levies/taxes.

Are you Exempt from Paying GST? Yes No

Exempt or unable to provide Tax Identifier? Yes, please select the reason: No

Child under 16

Pensioner (Category 1)

Territory Resident

Pensioner (Category 2)

Non-Resident

Are You a Sophisticated Investor?

If NO, skip to Section 2

Yes

No

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

Section 2: Portfolio Details

Section 2.1 Portfolio Details

Name of Portfolio

Menu Selection

Core

Select

Choose between Core (limited investment options) and Select (all investment options)

Will the investments within this portfolio form all or part of a diversified portfolio of investments?

Yes

No

A diversified portfolio of investments spreads investments across multiple asset classes and investments so that exposure to any one asset class or investment is limited.

Diversification can consider investments on and off platform and may include investments in 5 or more different securities, investments in diversified funds and/or investments in Managed Discretionary Accounts.

Household Details

New

Name

Existing

Name

Number

Section 3: Investments

Section 3.1 Account Details

Name of Account

Minimum Cash Preference

Platformplus will reserve the lower of the % or the \$ value entered below.

Cash Percentage Minimum

Cash percentage minimum must be a valid number between 1.00 and 100.00

%

Cash Amount Minimum

Cash amount minimum must be a valid number no less than \$2000.00

\$

Maximum Cash Target^A

Invest Excess Cash is only triggered when available cash exceeds the minimum or target cash (if set), and your trade minimum is met.

Set a Cash Target

Yes

No

Cash Percentage Target (%)

Cash Target must be equal to or greater than the minimum amount above.

%

OR

Cash Amount Target (\$)

Cash Target must be equal to or greater than the minimum amount above.

\$

Platformplus Super Wrap

USI 18906079389001

A Division of WRAP Super ABN 18 906 079 389

Issued by the trustee:

Equity Trustees Superannuation Limited

ABN 50 055 641 757 AFS Licence No 229757 RSE Licence No L0001458

Dividends & Distributions

A dividend is income from a security, and a distribution is income from a managed fund. Some issuers offer distribution or dividend reinvestment plans (DRPs) which allows investors to reinvest cash dividends or distributions to buy additional shares or units.

Where a DRP is offered for securities or managed funds, would you like to opt in?	Yes	No
Where a DRP is not offered? <i>This option applies to distributions from managed funds only.</i>	Retain as Cash	Reinvest

Section 3.2 Link a Model Portfolio

Would you like to link this account to a model portfolio?	Yes	No
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If NO, skip to Section 3.3

Model Portfolio Name

Automatic Rebalance?

If NO, skip to Section 3.3

To enable the ability to setup the automatic rebalancing of your Model Portfolio at specific frequencies. If not selected, you can manually rebalance your Model Portfolio on demand via the Manual rebalance feature.

Frequency (Daily, Weekly, Fortnightly, Monthly, Quarterly, Half-yearly, Annually)

The period of time between automatic rebalances. The day the automatic rebalance would be executed is derived from the commencement date selected. Example: If you select a Quarterly frequency and your commencement date is the 5th of July, Quarterly rebalances would occur on the 5th day of October, January, April, and July.

Commencement Date

The date you wish to execute your first automatic rebalance. This date will also be used to derive the next occurrence of the Portfolio rebalance based on the frequency selected.

Minimum Order Value

The minimum order amount used when rebalancing your Portfolio based on the allocations defined. If the rebalance engine determines that an order required to align your assets to the target allocations falls below the minimum order amount, that order will be filtered out.

Rebalance Type

If 'At Target' is selected, then orders will be created to align to the target allocations defined for the Model. If 'Align to Target' is selected, then any excess cash will be used to buy into assets based on the target allocations defined for the Model.

At Target Align to Target

Section 3.3 Invest Excess Cash

Use excess cash to automatically purchase investments?	Yes	No
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If NO, skip to Section 3.4

Buy Strategy Start Date Immediately Future date (below)

Future date

Minimum buy amount

Target Cash can be set as a \$ or % based target amount to be held in cash. Invest Excess Cash is only triggered when available cash exceeds the minimum or target cash (if set), and your trade minimum is met. Minimum buy amount cannot be less than 1000

Select Investments

Any amount not allocated to investments will be held as cash. Minimum cash reserve requirements must be met before orders are generated for the selected allocations.

Investment Name	Investment Code	Allocation (%)
		%
		%
		%
		%
Total		100%

If you have more Accounts, please provide a copy of Section 3 when returning this Form.

Section 3.4 Automatic Sell Strategy

How would you like the platform to determine asset allocation for your Sell Strategy?

Platform Default strategy will automatically sell instruments in the order defined in the PDS.
Copy Excess Cash Strategy will automatically sell instruments in alignment to selections and weightings as defined in your Invest Excess Cash strategy. This option cannot be selected if you did not answer "Yes" to Section 3.3 above.
Define My Own strategy will automatically sell instruments based on the instrument selections and weightings or ranked order you choose for this Portfolio.

You can only choose one (1) Sell Strategy.

- Platform Default
- Copy Excess Cash Strategy
- Define My Own (below)

If Define My Own, would you like the sell investment method to be as a percentage or order?

Percentage

Order

You can only choose one (1) method.

Select Investments
 Based on your response above, complete either the percentage OR order instructions below. For a ranked order, the order must be sequenced from 1 to the number of investments selected.

Investment Name	Investment Code	Percentage (%)	Order
		%	
		%	
		%	
		%	
Total		100%	

Section 3.5 Adviser Fees

Are there any adviser fees applicable to this account?

Yes

No

If NO, skip to Section 3.7

Fee Arrangement Type
You can only select either Ongoing or Fixed-Term.

Ongoing Fee Arrangement

Portfolio Number This field is mandatory under the Treasury Laws Amendment (Delivering Better Financial Outcomes and Other Measures) Act 2024 (DBFO Act).

Reference Date (DD/MM) The Reference Date is defined in the Corporations Act 2001. It is for determining the renewal period and cessation of consent: see section 962H.

Is this account funded with borrowed funds?

Yes

No

When borrowed funds are used to fund an account, only \$ adviser fees can be charged.

Adviser Fee Type

%

Flat \$

You can only choose one (1) fee type.

Flat dollar (\$) Annual Amount

\$

Charge Frequency

Monthly, Quarterly, Half-yearly or Annually

		Tier	Percentage inc GST	
Percentage Based (%)	\$	To	\$	%
	\$	To	\$	%
	\$	To	\$	%
	\$	To	\$	%
	\$		\$	%

Estimated Account Balance

\$

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the next Reference Date. If you consent, the actual amount that you will pay from your Account will depend of the date your consent is received, rounding and the value of your account during the period.

Charge Frequency *Monthly, Quarterly, Half-yearly or Annually*

Service/s Provided *The Licensee will provide financial advice and the following services, as documented in your Statement of Advice / Record of Advice.*

Statement/Record of Advice Date

Service/s provided:

Please select all those relevant.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- Infocus Securities Australia Pty Ltd is required by law to obtain your consent before the advice fees can be deducted from your Account. If you are not happy with the amount described, you do not have to provide consent.
- You may vary or withdraw your consent to any future advice fee deductions at any time by notifying Infocus Securities Australia Pty Ltd in writing at the contact details below, or by submitting an on-platform request to withdraw your advice fee consent.
- Your consent expires 150 days from the Reference Date above. Infocus Securities Australia Pty Ltd will be required to ask for your consent annually so that we can continue deducting fees from your Account in return for services. If we do not receive your consent by this date, we will automatically cease deducting fees from your Account.

Fixed-Term Fee Arrangement

Start Day (DD/MM)

Cannot be more than 120 days in the future.

End Day (DD/MM)

Is this account funded with borrowed funds?

When borrowed funds are used to fund an account, only \$ adviser fees can be charged.

Yes

No

Adviser Fee Type

You can only choose one (1) fee type.

%

Flat \$

Flat dollar (\$) Amount Per Frequency

inc GST

\$

Charge Frequency

Monthly, Quarterly, Half-yearly or Annually

Tier

Percentage p.a.
inc GST

Percentage Based (%)

\$

To

%

\$

To

%

\$

To

%

\$

To

%

\$

%

Estimated Account Balance

\$

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the End Day. If you consent, the actual amount that you will pay from your Account will depend of the date your consent is received, rounding and the value of your account during the period.

Platformplus Super Wrap

USI 18906079389001

A Division of WRAP Super ABN 18 906 079 389

Issued by the trustee:

Equity Trustees Superannuation Limited

ABN 50 055 641 757 AFS Licence No 229757 RSE Licence No L0001458

Charge Frequency *Monthly, Quarterly, Half-yearly or Annually*

Service/s Provided *The Licensee will provide financial advice and the following services, as documented in your Statement of Advice / Record of Advice.*

Statement/Record of Advice Date

Service/s provided:

Please select all those relevant.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- Infocus Securities Australia Pty Ltd is required by law to obtain your consent before the advice fees can be deducted from your Account. If you are not happy with the amount described, you do not have to provide consent.
- You may vary or withdraw your consent to any future advice fee deductions at any time by notifying Infocus Securities Australia Pty Ltd in writing at the contact details below, or by submitting an on-platform request to withdraw your advice fee consent.
- Your consent expires on the End Date above. Infocus Securities Australia Pty Ltd will be required to ask for your consent annually so that we can continue deducting fees from your Account in return for services. If we do not receive your consent by this date, we will automatically cease deducting fees from your Account.

Section 3.6 One-Off Adviser Fee

Would you like to charge a one-off fee as part of account onboarding?

Yes

No

If NO, skip to Section 3.8

Fee Amount (including GST)

Statement of Advice/Record of Advice Date

Service/s Provided:

Please select all those relevant. The Licensee will provide one-off financial advice and the following services, as documented in your Statement of Advice / Record of Advice.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- You will pay the following one-off advice fee from your Account.
- Platformplus Super Wrap superannuation is required by law to obtain your written consent before the fee for financial advice can be deducted from your Account and paid to Infocus Securities Australia Pty Ltd. If you are not happy with the amount described, you do not have to provide consent.
- Your consent expires once the amount is deducted from your Account. This means Platformplus Super Wrap superannuation or your Adviser will have to ask for your consent again if Platformplus Super Wrap superannuation wants to deduct fees from your Account for further advice provided by Infocus Securities Australia Pty Ltd in the future.
- You may withdraw your consent to the deduction of advice fees from your Account at any time by contacting the Platformplus Super Wrap superannuation fund at the contact details below.
- A withdrawal of consent must occur before the amount has been deducted from your Account. The advice fee amount will be deducted once consent is received and when there is sufficient available cash to fund the payment.

Section 3.7 Contribution & Withdrawal Strategy

The percentage of a contribution and/or withdrawal that will be allocated to each account

Contribution Strategy Allocation (%)	<input type="text"/>	%	Withdrawal Strategy Allocation (%)	<input type="text"/>	%
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Section 4: Rollover

Section 4.1 Rollover Details

Fund Type <i>Select only one fund type</i>	<input type="text"/>	Rollover Fund	<input type="text"/>	SMSF	<input type="text"/>
Fund Name	<input type="text"/>	Fund Member Number	<input type="text"/>		
USI for Rollover Fund or ABN for SMSF	<input type="text"/>				
Electronic Service Address <i>SMSF fund type only</i>	<input type="text"/>	Rollover Type	<input type="text"/>	Full	<input type="text"/>
Estimated Rollover Amount			\$	<input type="text"/>	

Section 5: Pension Payments

Section 5.1 Pension Payment Details

Values and payment dates are subject to change based on the establishment of a balance in the pension account and receiving member authorisation. If the establishment of a balance in the pension account or member authorisation is received within five business days of the selected first payment date, the pension will commence in the following payment period which is the 10th of the Month for all payments unless the payment frequency is Twice Monthly where first payment date can be 10th or 24th of the month.

Pension Payment Frequency

<input type="checkbox"/>	Twice Monthly	<input type="checkbox"/>	Monthly	<input type="checkbox"/>	Quarterly
<input type="checkbox"/>	Half-yearly	<input type="checkbox"/>	Annually		

Pension Payment Type	Minimum	Nominated Amount Per Frequency	\$	<input type="text"/>
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Section 5.2 Bank Account Details

Bank account must be an Australian bank account in the name of the pension applicant.

Account Name	<input type="text"/>	BSB	<input type="text"/>
Account Number	<input type="text"/>	Bank Name	<input type="text"/>

Section 6: Beneficiaries (Optional)

Section 6.1 Nomination 1 Beneficiary Details

Select only one type of beneficiary type from the list below

<input type="checkbox"/>	No Nomination	<input type="checkbox"/>	Binding Nomination (Non-Lapsing)	<input type="checkbox"/>	Binding Nomination (Lapsing)
<input type="checkbox"/>	Non-Binding Nomination	<input type="checkbox"/>	Reversionary Nomination		

If No Nomination, skip to Section 7

Relationship With Member	<input type="text"/>
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Platformplus Super Wrap

USI 18906079389001
A Division of WRAP Super ABN 18 906 079 389

PFPSuperWrap_Application_2051121

Issued by the trustee:

Equity Trustees Superannuation Limited

ABN 50 055 641 757 AFS Licence No 229757 RSE Licence No L0001458

Allocation %

Required for all non-reversionary nominations and must total 100% across all beneficiaries

%

Title

Surname

Given Names

Date Of Birth

Primary Residential Address

Suburb

State

Post Code

If this nomination is a Reversionary Nomination, please complete nomination contact details section below and skip to Section 7

Country Code

Phone Number

Email Address

Section 6.2 Nomination 2 Additional Beneficiary Details (optional)

Select only one type of beneficiary type from the list below

Binding Nomination (Non-Lapsing)

Binding Nomination (Lapsing)

Non-Binding Nomination

Relationship With Member

Allocation %

Required for all non-reversionary nominations and must total 100% across all beneficiaries

%

Title

Surname

Given Names

Date Of Birth

Primary Residential Address

Suburb

State

Post Code

Section 6.3 Additional Nomination 3 Beneficiary Details (optional)

Select only one type of beneficiary type from the list below

Binding Nomination (Non-Lapsing)

Binding Nomination (Lapsing)

Non-Binding Nomination

Relationship With Member

Allocation %

Required for all non-reversionary nominations and must total 100% across all beneficiaries

%

Title

Surname

Given Names

Date Of Birth

Primary Residential Address

Suburb

State

Post Code

Section 7: Adviser Declaration

By signing this Form, I declare that:

- the applicant(s) has been provided with all relevant offer documents for the platform, which includes information regarding investment strategy, fees and risks.
- the information entered is true and correct.
- I have provided my client(s) with a copy of the Terms and Conditions for the platform.
- I have completed an identity verification procedure in accordance with the AML/CTF Act and Rules, in the capacity of an AFSL holder or their authorised representative and the tax information provided is reasonable considering the documentation provided.
- the AML/CTF verification documentation is retained and available upon future request if required by the Trustee/Responsible Entity.
- the client has completed CRS/FATCA self certification documentation which I have reviewed for reasonableness and is retained and available upon future request if required by the Operator/Trustee/Responsible Entity
- where advice fees have been entered:
 - the fees to be paid by the client meet the sole purpose test (superannuation accounts only).
 - the Trustee/Operator has discretion to reject the payment of advice fees.
 - the fees are reasonable for the proposed services.
 - any estimates provided are reasonable estimates.
 - the Reference Date, if listed, is accurate.
- I acknowledge that where advice fees have been entered:
 - the fees will not be calculated nor deducted prior to the date of consent.
 - the fees reflect a fee arrangement that has been agreed with the applicant(s). To the extent of any inconsistency, the details provided in this application will prevail.
 - the fixed fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations.

Full Name (print)

AFS Licensee

Infocus Securities Australia Pty Ltd AFSL No. 236523

Adviser Phone Number

Adviser Email

Signature

Date

Section 5: Client Declaration

Terms of Service

Before you can access your new profile or account(s), you must access, read and ensure you understand the documents listed below. They contain important information about your profile or account(s), the products and services you may access and how your personal information will be handled. You should also save a copy for future reference.

Documents you need to access, read and understand

- Platformplus Super Wrap Product Disclosure Statement (PDS)
- Platformplus Super Wrap Investment Menu Guide

By signing this form, you declare that:

- You are the Account Holder of a PlatformplusWRAP Account (Account), or are authorised to make the following declarations on behalf of the Account Holder;
- You have accessed, read, understand and agree to the Important Documents listed above;
- The information listed is true and correct;
- You consent to receiving electronic communications, including via your PlatformplusWRAP profile, Account or by emails;
- You understand that you can opt out of receiving marketing materials in relation to your Account by updating your communication preference online via your PlatformplusWRAP profile at any time; and
- By opening an Account through PlatformplusWRAP:
 - You are entering into an agreement with PlatformplusWRAP, which is based upon the terms and conditions outlined in the above Important Documents; and
 - You acknowledge and understand that:
 - Investing through PlatformplusWRAP is not the same as holding investments directly (as described in the PlatformplusWRAP PDS); and
 - You do not have access to some of the rights and entitlements that would otherwise be available to you as a retail investor) who directly invests in a financial product, managed account, or other asset or investment available for acquisition through PlatformplusWRAP; and
 - You do not have the same rights as direct retail investors and you may not have access to cooling-off rights, withdrawal rights and voting rights in respect of an investment held through the PlatformplusWRAP.

Source Fund Name			
Source Fund Member Number		USI or ABN	
Rollover Amount	\$		

You authorise the use of your TFN in this rollover request to assist in matching account details at the source fund (optional)

Adviser Remuneration Details (if applicable)

If you have included an Adviser Fee (Section 3.5) or One-Off Fee (Section 3.6), you do:

- understand the information provided above;
- understand that my Financial Adviser is an Authorised Representative of the AFS Licensee, payment will be made to that AFS Licensee and not the individual adviser;
- request and authorise the advice fee(s) to be deducted from my Account(s) and to be paid to my Financial Adviser;
- confirm that I have chosen my Financial Adviser and that the Responsible Entity/Trustee is not responsible for the financial advice services to be provided by my Financial Adviser;
- release the Responsible Entity/Trustee from any claim relating to the financial advice services provided by my Financial Adviser, other than a claim for the Responsible Entity/Trustee to pay the agreed advice fee(s) and to deduct it from my Account(s);
- acknowledge the Responsible Entity/Trustee has discretion to reject or cease deducting advice fees;
- confirm that the advice fee(s) listed in this form reflects a fee amount that has been agreed with my Financial Adviser;
- acknowledge that I understand how the advice fee(s) will be applied to my Account;
- understand that fixed advice fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations; and
- declare that the advice fee(s) to be paid comply with the sole purpose test. This means that only costs associated with advice that relates to your superannuation and insurance obtained through superannuation may be deducted from your superannuation Account.

=

Full Name (print)	
Signature	
Date	

How to Return this Form

Upload to

Online Application > Documentation

Contact the Superannuation Fund

platformpluswrap.com.au | clientsupport@platformpluswrap.com.au | 1300 168 905

Equity Trustees Limited ("Equity Trustees") ABN 46 004 031 298 AFSL 240975 is the Responsible Entity for the PlatformplusWRAP, a registered managed investment IDPS-like scheme (the Scheme) of which there may be different classes of member's accounts. Equity Trustees Superannuation Limited ("ETSL") ABN 50 055 641 757 AFSL 229757, RSE L0001458, is the Trustee for the WRAP Super Fund ABN 18 906 079 389 RSE R1078770, of which Platformplus Super Wrap (the Division) USI 18906079389001 is a Division. Both Equity Trustees and ETSL are subsidiaries of EQT Holdings Limited ABN 22 607 797 615, a publicly listed company on the Australian Securities Exchange (ASX: EQT). The Promoter of the Scheme, Fund and Division is Platformplus Pty Ltd ("Platformplus") ABN 46 103 551 533 AFSL No. 563335. Platformplus is a subsidiary of Infocus Wealth Management Limited ABN 28 103 551 015. This website has been prepared by Platformplus to provide you with general information only and does not take into account the investment objectives, financial situation or particular needs of any particular person. Neither Platformplus, Alpha, Equity Trustees, ETSL nor any of their related parties, their employees or directors, provide any warranty of accuracy or reliability in relation to such information or accept any liability to any person who relies on it. Past performance should not be taken as an indicator of future performance. You should obtain a copy of the Product Disclosure Statement and Target Market Determination before making a decision about whether to invest in this product. For more information about PlatformplusWRAP, including the Financial Services Guide and disclosure documents, please visit platformpluswrap.com.au.

^ Indicates that the answer to this question is optional.