

How to Use This Form

This Form should be used to establish a Platformplus Super Wrap Portfolio.

All fields are mandatory unless otherwise indicated by a ^.

The Portfolio types include **select one (1) only**:

Accumulation

Pension

If establishing more than one (1) Portfolio, please complete and submit separate Forms.

Section 1: Portfolio Holder Details

Section 1.1 Personal Details

Title		Surname			
Given Names					
Gender	Male	Female	X	Prefer not to say	
Date Of Birth		Town of Birth^		Country Of Birth	
Marital Status^	Unknown	Separated	Married/Civil Partnership		
	Divorced	Widowed	Single	Partner	
Client Type^	Non-contactable	Staff			

Section 1.2 Contact Details

Primary Residential Address					
Suburb		State		Post Code	
Email Address				Work	Personal
Mobile (Australia +61 only)					
Contact Preferences	Email	Phone			

Section 1.3 Regulatory Details

Primary country of Tax Residency		Primary Country of Citizenship	
Tax Identifier (Tax File Number in Australia)			

Why do I need to provide my TFN? It is not compulsory to provide your Australian TFN. However, individuals who do not provide a TFN (or an ABN for non-individual investors i.e. entities), or alternatively provide exemption details, may have tax deducted from any income at the highest marginal tax rate plus the Medicare Levy or any other applicable levies/taxes.

Are you Exempt from Paying GST?	Yes	No
Exempt or unable to provide Tax Identifier?	Yes, please select the reason:	No

Child under 16

Pensioner (Category 1)

Territory Resident

Pensioner (Category 2)

Non-Resident

Are You a Sophisticated Investor?

Yes

No

If NO, skip to Section 2

If yes, the Accountant's Certificate effective date

Please provide a copy of the Accounting Certificate when submitting this Form.

## Section 2: Portfolio Details

### Section 2.1 Portfolio Details

Name of Portfolio

Menu Selection

Core

Select

Choose between Core (limited investment options) and Select (all investment options)

Will the investments within this portfolio form all or part of a diversified portfolio of investments?

Yes

No

A diversified portfolio of investments spreads investments across multiple asset classes and investments so that exposure to any one asset class or investment is limited.

Diversification can consider investments on and off platform and may include investments in 5 or more different securities, investments in diversified funds and/or investments in Managed Discretionary Accounts.

Household Details

New

Name

Existing

Name

Number

### Section 2.2 Add Bank Accounts

#### Bank Account 1

Account Name

Bank/Sort/Branch Code (BSB)

Account Number

Use Account for Direct Debits? (Accumulation only)

Yes

No

#### Bank Account 2

Account Name

Bank/Sort/Branch Code (BSB)

Account Number

Use Account for Direct Debits? (Accumulation only)

Yes

No

To add more than two (2) bank accounts, please provide a copy of Section 2 when returning this Form.

Tax Parcel Method

Minimise Gains

FIFO

Maximise Gains

## Section 3: Investments

### Section 3.1 Account Details

Name of Account

#### Choose a Minimum Cash Preference

Platformplus will reserve the lower of the % or the \$ value entered below.

Cash Percentage Minimum

Cash percentage minimum must be a valid number between 1.00 and 100.00

%

Cash Amount Minimum

Cash amount minimum must be a valid number no less than \$2000.00

\$

#### Dividends & Distributions

A dividend is income from a security, and a distribution is income from a managed fund. Some issuers offer distribution or dividend reinvestment plans (DRPs) which allows investors to reinvest cash dividends or distributions to buy additional shares or units.

Where a DRP is offered for securities or managed funds, would you like to opt in?

Yes

No

Where a DRP is not offered?

This option applies to distributions from managed funds only.

Retain as  
Cash

Reinvest

### Section 3.2 Link a Model Portfolio

Would you like to link this account to a model portfolio?

Yes

No

If NO, skip to Section 3.3

Model Portfolio Name

Automatic Rebalance?

Yes

No

If NO, skip to Section 3.3

To enable the ability to setup the automatic rebalancing of your Model Portfolio at specific frequencies. If not selected, you can manually rebalance your Model Portfolio on demand via the Manual rebalance feature.

Frequency (Daily, Weekly, Fortnightly, Monthly, Quarterly, Half-yearly, Annually)

The period of time between automatic rebalances. The day the automatic rebalance would be executed is derived from the commencement date selected. Example: If you select a Quarterly frequency and your commencement date is the 5th of July, Quarterly rebalances would occur on the 5th day of October, January, April, and July.

Commencement Date

The date you wish to execute your first automatic rebalance. This date will also be used to derive the next occurrence of the Portfolio rebalance based on the frequency selected.

Minimum Order Value

The minimum order amount used when rebalancing your Portfolio based on the allocations defined. If the rebalance engine determines that an order required to align your assets to the target allocations falls below the minimum order amount, that order will be filtered out.

\$

Rebalance Type

If 'At Target' is selected, then orders will be created to align to the target allocations defined for the Model. If 'Align to Target' is selected, then any excess cash will be used to buy into assets based on the target allocations defined for the Model.

At Target

Align to  
Target

Section 3.3 Invest Excess Cash

Use excess cash to automatically purchase investments? 

Yes

No

If NO, skip to Section 3.4

Minimum buy amount 

\$

  
*Excess cash will not be invested if the allocated trades do not meet the specified minimum amount for each investment to be purchased.*

Select Investments  
*Any amount not allocated to investments will be held as cash. Minimum cash reserve requirements must be met before orders are generated for the selected allocations.*

Investment Name	Investment Code	Allocation (%)
		%
		%
		%
		%
	CASH	%
	Total	100%

*If you have more Accounts, please provide a copy of Section 3 when returning this Form.*

Section 3.4 Direct Debit Options (Accumulation Only)

Add One Off Deposit? 

Yes

No

Payment Amount  Bank Account (Section 2.2) 

Bank Account 1

Bank Account 2

Add Regular Deposit? 

Yes

No

Payment Amount  Bank Account (Section 2.2) 

Bank Account 1

Bank Account 2

Start Date  Final Date^   
*Must be a future date*

Frequency   
*Fortnightly, Monthly, Quarterly or Yearly*

Section 3.5 Adviser Fees

Are there any adviser fees applicable to this account? 

Yes

No

If NO, skip to Section 3.6

Fee Arrangement Type  
*You can only select either Ongoing or Fixed-Term.*

Ongoing Fee Arrangement

Portfolio Number   
*This field is mandatory under the Treasury Laws Amendment (Delivering Better Financial Outcomes and Other Measures) Act 2024 (DBFO Act).*

**Reference Date (DD/MM)** The Reference Date is defined in the Corporations Act 2001. It is for determining the renewal period and cessation of consent: see section 962H.

Is this account funded with borrowed funds?

When borrowed funds are used to fund an account, only \$ adviser fees can be charged.

Yes

No

**Adviser Fee Type**

You can only choose one (1) fee type.

%

Flat \$

**Flat dollar (\$) Annual Amount**

inc GST

\$

**Charge Frequency**

Monthly, Quarterly, Half-yearly or Annually

				<b>Tier</b>		<b>Percentage</b> inc GST
Percentage Based (%)	\$	To	\$			%
	\$	To	\$			%
	\$	To	\$			%
	\$	To	\$			%

**Estimated Account Balance**

\$

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the next Reference Date. If you consent, the actual amount that you will pay from your Account will depend of the date your consent is received, rounding and the value of your account during the period.

**Charge Frequency** Monthly, Quarterly, Half-yearly or Annually

**Service/s Provided** The Licensee will provide financial advice and the following services, as documented in your Statement of Advice / Record of Advice.

**Statement/Record of Advice Date**

**Service/s provided:**

Please select all those relevant.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- Infocus Securities Australia Pty Ltd is required by law to obtain your consent before the advice fees can be deducted from your Account. If you are not happy with the amount described, you do not have to provide consent.
- You may vary or withdraw your consent to any future advice fee deductions at any time by notifying Infocus Securities Australia Pty Ltd in writing at the contact details below, or by submitting an on-platform request to withdraw your advice fee consent.
- Your consent expires 150 days from the Reference Date above. Infocus Securities Australia Pty Ltd will be required to ask for your consent annually so that we can continue deducting fees from your Account in return for services. If we do not receive your consent by this date, we will automatically cease deducting fees from your Account.

### Fixed-Term Fee Arrangement

**Platformplus Super Wrap**

USI 18906079389001

A Division of WRAP Super ABN 18 906 079 389

Issued by the trustee:

**Equity Trustees Superannuation Limited**

ABN 50 055 641 757 AFS Licence No 229757 RSE Licence No L0001458

Start Day (DD/MM)  
Cannot be more than  
120 days in the future.

End Day (DD/MM)

Is this account funded with borrowed funds?

When borrowed funds are used to fund an account, only \$ adviser fees can be charged.

Yes

No

Adviser Fee Type

You can only choose one (1) fee type.

%

Flat \$

Flat dollar (\$) Amount Per Frequency  
inc GST

\$

Charge Frequency

Monthly, Quarterly, Half-yearly or Annually

Tier

Percentage p.a.  
inc GST

Percentage Based (%)

\$

To

%

\$

To

%

\$

To

%

\$

To

%

Estimated Account Balance

\$

The Estimated Account Balance is an estimate of a member's account balance which is used to calculate a reasonable estimate of the fees that will be charged to the member for the twelve months up until the End Day. If you consent, the actual amount that you will pay from your Account will depend of the date your consent is received, rounding and the value of your account during the period.

Charge Frequency Monthly, Quarterly, Half-yearly or Annually

Service/s Provided The Licensee will provide financial advice and the following services, as documented in your Statement of Advice / Record of Advice.

Statement/Record of Advice Date

Service/s provided:

Please select all those relevant.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- Infocus Securities Australia Pty Ltd is required by law to obtain your consent before the advice fees can be deducted from your Account. If you are not happy with the amount described, you do not have to provide consent.
- You may vary or withdraw your consent to any future advice fee deductions at any time by notifying Infocus Securities Australia Pty Ltd in writing at the contact details below, or by submitting an on-platform request to withdraw your advice fee consent.
- Your consent expires on the End Date above. Infocus Securities Australia Pty Ltd will be required to ask for your consent annually so that we can continue deducting fees from your Account in return for services. If we do not receive your consent by this date, we will automatically cease deducting fees from your Account.

### Section 3.6 One-Off Adviser Fee

Would you like to charge a one-off fee as part of account onboarding?

Yes

No

If NO, skip to Section 3.7

Fee Amount (including GST)

Statement of Advice/Record of Advice Date

Service/s Provided:

Please select all those relevant. The Licensee will provide one-off financial advice and the following services, as documented in your Statement of Advice / Record of Advice.

Review of your account

Contribution strategy

Investment portfolio management

Estate planning

Retirement planning

Protection strategy

Withdrawals/Benefit payments

Other (please specify):

By signing this Form, you acknowledge that:

- You will pay the following one-off advice fee from your Account.
- Platformplus Super Wrap superannuation is required by law to obtain your written consent before the fee for financial advice can be deducted from your Account and paid to Infocus Securities Australia Pty Ltd. If you are not happy with the amount described, you do not have to provide consent.
- Your consent expires once the amount is deducted from your Account. This means Platformplus Super Wrap superannuation or your Adviser will have to ask for your consent again if Platformplus Super Wrap superannuation wants to deduct fees from your Account for further advice provided by Infocus Securities Australia Pty Ltd in the future.
- You may withdraw your consent to the deduction of advice fees from your Account at any time by contacting the Platformplus Super Wrap superannuation fund at the contact details below.
- A withdrawal of consent must occur before the amount has been deducted from your Account. The advice fee amount will be deducted once consent is received and when there is sufficient available cash to fund the payment.

### Section 3.7 Contribution & Withdrawal Strategy

The percentage of a contribution and/or withdrawal that will be allocated to each account

Contribution Strategy Allocation (%)

%

Withdrawal Strategy Allocation (%)

%

## Section 4: Adviser Declaration

By signing this Form, I declare that:

- the applicant(s) has been provided with all relevant offer documents for the platform, which includes information regarding investment strategy, fees and risks.
- the information entered is true and correct.
- I have provided my client(s) with a copy of the Terms and Conditions for the platform.
- I have completed an identity verification procedure in accordance with the AML/CTF Act and Rules, in the capacity of an AFSL holder or their authorised representative and the tax information provided is reasonable considering the documentation provided.
- the AML/CTF verification documentation is retained and available upon future request if required by the Trustee/Responsible Entity.
- the client has completed CRS/FATCA self certification documentation which I have reviewed for reasonableness and is retained and available upon future request if required by the Operator/Trustee/Responsible Entity
- where advice fees have been entered:
  - the fees to be paid by the client meet the sole purpose test (superannuation accounts only).
  - the Trustee/Operator has discretion to reject the payment of advice fees.
  - the fees are reasonable for the proposed services.
  - any estimates provided are reasonable estimates.
  - the Reference Date, if listed, is accurate.
- I acknowledge that where advice fees have been entered:
  - the fees will not be calculated nor deducted prior to the date of consent.
  - the fees reflect a fee arrangement that has been agreed with the applicant(s). To the extent of any inconsistency, the details provided in this application will prevail.
  - the fixed fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations.

Full Name (print)

AFS Licensee

Infocus Securities Australia Pty Ltd AFSL No. 236523

Adviser Phone Number

Adviser Email

Signature

Date



## Section 5: Client Declaration

### Terms of Service

Before you can access your new profile or account(s), you must access, read and ensure you understand the documents listed below. They contain important information about your profile or account(s), the products and services you may access and how your personal information will be handled. You should also save a copy for future reference.

### Documents you need to access, read and understand

- Platformplus Super Wrap Product Disclosure Statement (PDS)
- Platformplus Super Wrap Investment Menu Guide
- Direct Debit Agreement (if applicable)

### By signing this form, you declare that:

- You are the Account Holder of a PlatformplusWRAP Account (Account), or are authorised to make the following declarations on behalf of the Account Holder;
- You have accessed, read, understand and agree to the Important Documents listed above;
- The information listed is true and correct;
- You consent to receiving electronic communications, including via your PlatformplusWRAP profile, Account or by emails;
- You understand that you can opt out of receiving marketing materials in relation to your Account by updating your communication preference online via your PlatformplusWRAP profile at any time; and
- By opening an Account through PlatformplusWRAP:
  - You are entering into an agreement with PlatformplusWRAP, which is based upon the terms and conditions outlined in the above Important Documents; and
  - You acknowledge and understand that:
    - Investing through PlatformplusWRAP is not the same as holding investments directly (as described in the PlatformplusWRAP PDS); and
    - You do not have access to some of the rights and entitlements that would otherwise be available to you as a retail investor) who directly invests in a financial product, managed account, or other asset or investment available for acquisition through PlatformplusWRAP; and
    - You do not have the same rights as direct retail investors and you may not have access to cooling-off rights, withdrawal rights and voting rights in respect of an investment held through the PlatformplusWRAP.

### Direct Debit Authorisation (if applicable)

If you have included a Direct Debit Option above (Section 3.4) you do:

- Request and authorise to debit the account named above to pay FNZ Custodians (Australia) Pty Limited as Custodian for Equity Trustees Ltd as Responsible Entity for PlatformplusWRAP (Platformplus).
- Request and authorise PlatformplusWRAP (Platformplus), ABN 46 103 551533 & FNZ Custodians (Australia) Pty Limited to arrange, through its own financial institution, a debit to your nominated account any amount Platformplus has deemed payable by you.
- This debit or charge will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below and will be subject to the terms and conditions of the Direct Debit Request Service Agreement.
- Understand and agree to the Direct Debit Service Agreement.

### Adviser Remuneration Details (if applicable)

If you have included an Adviser Fee (Section 3.5) or One-Off Fee (Section 3.6), you do:

- understand the information provided above;
- understand that my Financial Adviser is an Authorised Representative of the AFS Licensee, payment will be made to that AFS Licensee and not the individual adviser;
- request and authorise the advice fee(s) to be deducted from my Account(s) and to paid to my Financial Adviser;
- confirm that I have chosen my Financial Adviser and that the Responsible Entity/Trustee is not responsible for the financial advice services to be provided by my Financial Adviser;
- release the Responsible Entity/Trustee from any claim relating to the financial advice services provided by my Financial Adviser, other than a claim for the Responsible Entity/Trustee to pay the agreed advice fee(s) and to deduct it from my Account(s);
- acknowledge the Responsible Entity/Trustee has discretion to reject or cease deducting advice fees;
- confirm that the advice fee(s) listed in this form reflects a fee amount that has been agreed with my Financial Adviser;
- acknowledge that I understand how the advice fee(s) will be applied to my Account;
- understand that fixed advice fees deducted at regular frequencies may result in rounding discrepancies when compared to annual calculations; and
- declare that the advice fee(s) to be paid comply with the sole purpose test. This means that only costs associated with advice that relates to your superannuation and insurance obtained through superannuation may be deducted from your superannuation Account.

Full Name (print)

Signature

Date

## How to Return this Form

### Upload to

Online Application > Documentation

### Contact the Superannuation Fund

platformpluswrap.com.au | clientsupport@platformpluswrap.com.au | 1300 168 905

Equity Trustees Limited ("Equity Trustees") ABN 46 004 031 298 AFSL 240975 is the Responsible Entity for the PlatformplusWRAP, a registered managed investment IDPS-like scheme ("the Scheme") of which there may be different classes of member's accounts. Equity Trustees Superannuation Limited ("ETSL") ABN 50 055 641 757 AFSL 229757, RSE L0001458, is the Trustee for the WRAP Super Fund ABN 18 906 079 389 RSE R1078770, of which Platformplus Super Wrap USI 18906079389001 is a Division. Both Equity Trustees and ETSL are subsidiaries of EQT Holdings Limited ABN 22 607 797 615, a publicly listed company on the Australian Securities Exchange (ASX: EQT). The Promoter of the Scheme is Platformplus Pty Ltd ABN 46 103 551 533, a Corporate Authorised Representative of Alpha Investment Management Pty Ltd ABN 13 122 381 908 AFSL 307379. This website has been prepared by Platformplus to provide you with general information only and does not take into account the investment objectives, financial situation or particular needs of any particular person. Neither Platformplus, Alpha, Equity Trustees, Equity Trustees Superannuation Limited nor any of their related parties, their employees or directors, provide any warranty of accuracy or reliability in relation to such information or accept any liability to any person who relies on it. Past performance should not be taken as an indicator of future performance. You should obtain a copy of the Product Disclosure Statement before making a decision about whether to invest in this product. For more information about PlatformplusWRAP, including the Financial Services Guide and disclosure documents, please visit platformpluswrap.com.au.

^ Indicates that the answer to this question is optional.